SLAVERY ERA DISCLOSURE ORDINANCE

CITY AND COUNTY OF SAN FRANCISCO

Report to the Mayor and Board of Supervisors – 2014 Update

Prepared by Office of the City Administrator

December 29, 2014
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Alliant Insurance Services, Inc.
Arthur J. Gallagher and Co.
Bickmore and Associates Inc.
Meketa Investment Group Inc.
Merriwether & Williams Insurance Services Inc.
Towers Watson
EXECUTIVE SUMMARY

The Slavery Disclosure Ordinance (Section 12Y of the Administrative Code) was passed by the Board of Supervisors and signed by Mayor Newsom in 2006. As outlined in Section 12Y(b), the purpose of the Ordinance was to promote full and accurate disclosure to the public of insurance and financial transactions and activity in the textiles industry that, directly or indirectly or through their parent entities, bought or sold people subjected to slavery, provided property insurance covering people subjected to slavery, provided loans to purchase people subjected to slavery, used people subjected to slavery as collateral for insurance policies or other transactions, profited from the trade in people subjected to slavery and/or provided related services to aid and abet such trade. The Ordinance directed that a fund be established to which contractors covered by the Ordinance could make voluntary contributions to ameliorate the legacy of the slavery era on persons subjected to slavery and their descendants. (Section 12Y.5 (a).)

The Ordinance requires that every contractor providing insurance/insurance services, financial services or textiles to the City be required to file an affidavit with the City Administrator verifying that the Contractor has searched all company records (including those of parent, predecessor or subsidiary companies) for any relevant records concerning whether the Contractor, parent, subsidiary or predecessor participated in the slave trade or received profits from the slave trade.

Affidavits from the 2007 are provided for reference. (See Appendix B.) An update is provided in Section 3 of this report. We recently became aware that the City Administrator is tasked with reporting on compliance annually. We are submitting this report as an update and will do so annually henceforth.
INTRODUCTION

The 2007 report provided an extensive history of the background on the issue of disclosure legislation in other parts of the country and development of the Ordinance in San Francisco.

This update will provide information on new affidavits requested and received. The departments with relationships in banking and insurance include those reporting 2007: Risk Management, The Office of Public Finance and Risk Management. Additionally, a contractor for the Office of the Controller filed an affidavit this year. It should be noted that financial institutions with which Public Finance contracts are exempt from the Ordinance and those that provided information did so voluntarily.

We have been advised by the City Attorney that a firm is required to file only once with the City, not for each new contract.

UPDATE – Affidavits

The Office of Risk Management currently contracts with the following firms for insurance services as defined by the Ordinance:

Aon filed an affidavit in 2007.


The Treasurer-Tax Collector has contracts with Bank of America and Wells Fargo. Both filed affidavits in 2007.

The Controller’s Office has a contract with Meketa Investment Group. They filed an affidavit in 2013.

The Controller’s Office of Public Finance vendors are not required to file. However, these vendors filed voluntarily in 2007:

Bank of America, N.A.
Bank of New York Mellon Trust Company
Deutsche Bank National Trust Company/Americas
JP Morgan Chase Bank, N.A.
US Bank, N.A.
Wells Fargo, N.A.
UPDATE – Development Fund (Section 12Y.5)

Voluntary Contributions to Ameliorate the Effects of Slavery

To date, no contributions have been received for the fund, and no solicitations have been made to Contractors subject to the Ordinance.

As provided in the Ordinance, I will contact the firms subject to his ordinance and request voluntary donations to the fund. As required by the Ordinance, we will report on contributions to and expenditures from the account in each annual report.
APPENDIX A

Slavery Era Disclosure Ordinance, Chapter 12Y Administrative Code
APPENDIX B

Slavery Era Disclosure Ordinance Report, November 8, 2007
APPENDIX C

2013 Affidavits

Alliant Insurance Services, Inc.
Arthur J. Gallagher and Co.
Bickmore and Associates Inc.
Meketa Investment Group Inc.
Merriwether & Williams Insurance Services Inc.
Towers Watson
Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Kenneth Zak, am the authorized representative and custodian of records of
   Alliance Insurance Services, Inc. (Contractor). I have searched, or caused to be searched
   under my direction, any and all records in the Contractor's possession or control, including
   records of any parent or subsidiary entity or Predecessor Company, and have made a good
   faith effort to search any relevant records that are within the Contractor's knowledge but
   not within its possession or control, for evidence that the Contractor, its parent or
   subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received
   Profits from the Slave Trade.

2. □ I have ☑ I have not located relevant records. If I have located relevant records, I am
   attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery,
   each Slaveholder, and each person or entity who Participated in the Slave Trade or derived
   Profits from the Slave Trade, mentioned in the records, (2) a description of the type of
   transactions, services, or other acts evidenced by the records; and, (3) the extent and
   nature of any Profits from the Slave Trade evidenced by the records. If I have not located
   relevant records, then I am attaching to this affidavit as Exhibit B the names of each
   parent or subsidiary entity or Predecessor Company whose records I searched or caused to
   be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state,
   local or federal law.

   I declare under penalty of perjury under the laws of the State of California that the
   foregoing is true and correct. Executed this 16 day of May, 2013, in San Diego (city),
   (state).

   Signature: Kenneth Z. Zak
   Print name: G.C. & S.J. P.
   Title: Allied Insurance Services, Inc.
   Company name:

   City contract number (if known): __________ See reverse for definitions.

   Type of industry: ☑ financial services
   □ insurance
   □ textiles
Exhibit B

ENTITY
Alliant Holdings I, LP
Alliant Holdings Parent, Inc.
Alliant Holdings I, LLC
Alliant Holdings II, LLC
Alliant Holdings I, Inc.
Alliant Holdings II, Inc.
ARG Holdings, Inc.
Alliant Insurance Services, Inc.
Colonial Healthcare, Inc.
Franey Muha Alliant Insurance Services, Inc.
Benefit Partners-Alliant, Inc.
Kelter Alliant Insurance Services, Inc.
Affinity Insurance Services, LLC
FHI Benefit Plans, Inc.
Alliant Specialty Insurance Services, Inc.
Strategic HR Services, Inc.
Alliant Insurance Services Houston, LLC
Alliant Services Houston, Inc.
Clarity Benefit Consulting, LLC
Moore-McNeil, LLC
ClearPoint LP
Alliant ClearPoint GP, Inc.
Jon Donovan Tanner Insurance Agency, Inc.
T&H Group Inc.
T&H Brokers, Inc.
T&H Benefits LLC
Construction Insurance Brokers Corp.
RFF & Associates, Inc.
The Arlen Group, Inc.
Benefit Advisors Services Group, LLC
AlliantRe
Suremerica Surety Underwriting Services, LLC
SureCanada Surety Services, Inc.
Suremerica Surety Services Ltd
Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Alice Youngbar, am the authorized representative and custodian of records of Arthur J. Gallagher & Co., Insurance Brokers of California, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. ☐ I have ☑ I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 1st day of June, 2013, in Irvine, California.

Signature

Type of industry: ☐ financial services

XX insurance

☐ textiles

Area President

Title

Arthur J. Gallagher & Co., Insurance Brokers of California, Inc.

Company name

City contract number (if known): _________________________

See reverse for definitions.
1. I, Gregory L. Tract, am the authorized representative and custodian of records of Pridemore and Associates, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. □ I have □ I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 3rd day of May, 2013, in San Francisco (city), California (state).

Signature

Type of industry: □ financial services
□ insurance
□ textiles

Print name

Chief Executive Officer

Title

Pridemore and Associates, Inc.

Company name

City contract number (if known): ________________  See reverse for definitions.
Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Stephen P. McCourt, am the authorized representative and custodian of records of Meketa Investment Group, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. ☐ I have ☑ I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 21st day of May, 2013, in Carlsbad, California

Signature

Type of industry: ☑ financial services

☐ insurance

☐ textiles

Stephen P. McCourt
Print name

Managing Principal
Title

Meketa Investment Group, Inc.
Company name

City contract number (if known):

See reverse for definitions.

* This statement is subject to the attached memorandum.

P-75 (4-17-07)
MEMORANDUM

To: City and County of San Francisco
From: Meketa Investment Group, Inc.
Date: May 21, 2013
Re: Slavery Era Disclosure Affidavit

Meketa Investment Group, Inc. ("Contractor") was incorporated in the Commonwealth of Massachusetts on December 28, 1978, as "James Meketa Associates, Inc." Contractor’s name was changed to "Meketa Investment Group, Inc." on August 16, 1994. The Contractor does not currently have and has never had any Predecessor Company. The Contractor does not currently have and has never had any parent entity. The Contractor does not currently have and has never had any subsidiary entity.

In light of the foregoing, no records in the Contractor’s possession or control could possibly exist that would evidence that the Contractor (or any parent or subsidiary entity or Predecessor Company thereof) Participated in the Slave Trade or received Profits from the Slave Trade. Therefore, no records in Contractor’s possession or control were searched or caused to be searched for evidence that the Contractor (or any parent or subsidiary entity or Predecessor Company thereof) Participated in the Slave Trade or received Profits from the Slave Trade.
Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Ingrid Merriwether, am the authorized representative and custodian of records of
Merriwether & Williams Insurance Services, Inc. (Contractor). I have searched, or caused to be searched under my
direction, any and all records in the Contractor's possession or control, including records of any parent or
subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant
records that are within the Contractor's knowledge but not within its possession or control, for evidence
that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave
Trade or received Profits from the Slave Trade.

2. I have not located relevant records. If I have located relevant records, I am
attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each
Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the
Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts
evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by
the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the
names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to
be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or
federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true
and correct. Executed this 7th day of June, 2013, in San Francisco (city),
California (state).

Signature

Ingrid Merriwether
Print name

President & CEO
Title

Merriwether & Williams Insurance Services, Inc.
Company name

City contract number (if known): See reverse for definitions.