#### SUNSHINE ORDINANCE TASK FORCE



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# ORDER OF DETERMINATION

May 5, 2009

# DATE THE DECISION ISSUED

April 28, 2009

DAVID LARKIN v. DEPARTMENT OF PUBLIC WORKS (09007)

#### **FACTS OF THE CASE**

On January 7, 2009, Complainant David Larkin requested information about an investigation into the conduct of DPW surveyor Bruce Storrs following a complaint filed by David Larkin against Bruce Storrs. The investigation was conducted by Bureau manager Barbara Moy. Frank Lee of DPW disclosed some records but withheld others citing California Public Records Act section 6254 (c) and Article 1, Section of the California Constitution. The basis for the redaction or withholding of information cited by DPW is because the disclosure of the information would constitute an unwarranted invasion of personal privacy. Other correspondence from the agency indicated that draft documents were also withheld.

#### **COMPLAINT FILED**

On January 29, 2009, David Larkin filed a complaint against DPW nd Barbara Moy alleging violations of the Sunshine Ordinance and the Public Records Act.

### **HEARING ON THE COMPLAINT**

On April 28, 2009, Complainant David Larkin appeared before the Task Force and presented his claim. Respondent Agency was not present but had earlier submitted a letter requesting a continuance. No motion was made to continue the matter, and the Task Force proceeded with the hearing.

# FINDINGS OF FACT AND CONCLUSIONS OF LAW

The Task Force found, as an initial matter, that preliminary drafts of documents must be released under the Sunshine Ordinance, section 67.24(a)(1). The Task Force also found that under controlling CPRA case law (e.g., Bakersfield City Sch. Dist. v. Superior Court (2004) 118 Cal. App. 4<sup>th</sup> 1041) where "complaints of a public employee's

wrongdoing and resulting disciplinary investigation reveal allegations of a substantial nature, as distinct from baseless or trivial, and there is reasonable cause to believe the complaint is well founded, public employee privacy must give way to the public's right to know" even if no misconduct was found to have occurred. Under this standard, which governs over the narrower standard in 67.24(c)(7), the Department was not, on the facts presented to the Task Force, justified in withholding records regarding the investigation of the complaint into Mr. Storrs' conduct. Finally, unless a specific employee had a good faith basis to fear retaliation or was a whistle-blower (neither of which apply to the case at hand) the names of the employees interviewed during the investigation could not be withheld under 67.24(c) and should be released.

## **DECISION AND ORDER OF DETERMINATION**

The Task Force finds that the agency violated Section 67.21 of the Sunshine Ordinance for failure to produce responsive documents including witness statements, reports and drafts regarding well-founded allegations of employee misconduct as the documents are required to be produced under sections 67.24 (a) (1) and Sec 67.24 (c)(7), as supplemented by CPRA case law.

The agency shall release the records requested within 5 business days of the issuance of this Order and appear before the Compliance and Amendments Committee on May 12, 2009.

This Order of Determination was adopted by the Sunshine Ordinance Task Force on April 28, 2009, by the following vote: (Craven-Green / Goldman)

Ayes: Craven-Green, Knee, Cauthen, Washburn, Knoebber, Goldman, Williams, Chu

Excused: Johnson, Chan

Kristin Murphy Chu, Chair

Sunshine Ordinance Task Force

Ku F.M. Ch

c: David Larkin, complainant
Frank Lee, Dept of Public Works
Ernie Llorente, Deputy City Attorney